SRE0001-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

KRAEHENBUEHL, ET AL.

Serial No.: 09/967,045

Filed: October 1, 2001

Commissioner for Patents

Washington, D.C. 20231

For:

ON-LINE REINSURANCE

CAPACITY AUCTION SYSTEM

AND METHOD

Art Unit: 2166

Examiner: Not Yet Assigned

FOURTH INFORMATION DISCLOSURE STATEMENT

RECEIVED

MAR 2 6 2004

GROUP 3600

Sir:

Applicants wish to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each reference is enclosed herewith.

The undersigned believes that this Fourth Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Accordingly, Applicants do not believe that a fee is due for filing this paper. However, should a first action on the merits have been issued on the same day or before this Fourth Information Disclosure Statement is filed, please accept this Fourth Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1390, under Order No. SRE0001-US and proceed to consider this Fourth Information Disclosure Statement.

Serial No.: 09/967,045

Art Unit: 2166

Attorney's Docket No.: SRE0001-US

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It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear

among the "References Cited" on any patent to issue therefrom.

This submission does not represent that any referenced document is material or

constitutes "prior art." If it should be determined that one or more of the referenced documents

constitute "prior art" under United States law, Applicants reserve the right to present to the

Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicants further reserve the right to take appropriate action to establish the patentablity

of the disclosed invention over any referenced document, should it be applied against the claims

of the present application.

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Date: March 23, 2004

Respectfully submitted,

KRAEHENBUEHL, ET AL.

By:

Michael D. Bednarek

Registration No. 32,329

MDB/SPA/ge

Document #: 1277776 v.1

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
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Substitute for form 1449A/PTO		C mplet if Known			
INFORMATION DISCLO	CLIDE	Application Number	09/967,045		
		Filing Date	October 1, 2001		
STATEMENT BY APPLICANT		First Named Inventor	KRAEHENBUEHL, ET AL.		
		Art Unit	2166		
(use as many sheets as necessary)		Examiner Name	Not Yet Assigned		
Sheet 1 of 2	2	Attorney Docket Number	SRE0001-US		

		U.	S. PATENT DO	CUMENTS	
Examiner Initials Cite No.1 Document Number Number Number- Kind Code2 (if known)		Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where	
	MM-DD-YYYY	Cited Document	Relevant Passages or Relevant Figures Appear		
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FOREIGN PATENT DOCUMENTS							
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Substitute for form 1449B/PTO				Compl t if Known			
INIE	FORMATION	DISCI	OCUPE	Application Number	09/967,045		
				Filing Date	October 1, 2001		
STATEMENT BY APPLICANT		First Named Inventor	KRAEHENBUEHL, ET AL.				
				Group Art Unit	2166		
(use as many sheets as necessary)		Examiner Name	Not Yet Assigned				
Sheet	2	of	4	Attorney Docket Number	SRE0001-US		

		OTHER PRIOR ART NON PATENT LIT	TERATURE	DOCUMENTS			
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